



Plastica Ltd

Compliance Obligations

(ISO 14001:2015, Clause 6.1.3 and 9.1.2, ISO 45001:2018, Clause 6.1.3 and 9.1.2)

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HS&E 41

1.0 Introduction

Plastica Ltd have established a process for determining and evaluating how it is addressing its compliance obligations including legislative and regulatory requirements as well as contractual obligations.

2.0 Scope

The process gives details on compliance obligations, on how they will be identified, how access to these obligations will be achieved and how they specifically apply to the organisation.

3.0 Process

3.1 Plastica Ltd's compliance obligations are detailed in the HS&E 47 Legal & Compliance Register.

3.2 Plastica Ltd obtains information on the regulatory and legislative compliance requirements from Government sources:

www.legislation.gov.uk
www.hse.gov.uk/legislation
www.hse.gov.uk/skin/professional/legal

3.3 Contractual obligations are also listed on the Legal & Compliance Register and are taken from individual client contracts.

3.4 It is the responsibility of senior management to determine how these compliance obligations apply to Plastica Ltd. The use of external consultants is permitted if felt necessary.

3.5 It is the responsibility of senior management to ensure that these compliance obligations are clearly understood and communicated to all interested parties within Plastica Ltd.

3.6 Compliance obligations will be assessed as part of Plastica Ltd's risk assessment process to ensure all necessary control measures are taken to prevent noncompliance.

3.7 Compliance with obligations is evaluated as part of Plastica Ltd's internal audit programme and compliance obligations are discussed as part of the Plastica Ltd's Management Review.

Evaluation of Compliance (Clause 9.1.2)

3.8 Plastica Ltd will evaluate their compliance to legal and other requirements at least on an annual basis.

3.9 Plastica Ltd shall evaluate their compliance to legal and other requirements and take actions to address any areas of nonconformity found during the evaluation.

3.10 Plastica Ltd maintains knowledge and understanding of its compliance status to legal and other requirements through the use of internal knowledge and acquiring information from governmental sources (refer to 3.2). Use of external experts will be considered when and where required.

3.11 This process is reviewed as part of the organisation's internal audit programme and legal (and other) requirements and compliance obligations are discussed as part of Plastica Ltd's Management Review.

- 3.12 Documentary evidence is maintained in relation to both the determination and evaluation of legal (including other requirements) and compliance obligations.

4.0 Related Documentation

HS&E 47 – Legal and Compliance Register